



EIOPA- BoS-19/163  
7 March 2019

## **Decision of the Board of Supervisors on the Register of Institutions of Occupational Retirement Provision (IORPs)**

**The Board of Supervisors of the European Insurance and Occupational Pensions Authority,**

**Having regard** to Regulation (EU) No 1094/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/79/EC<sup>1</sup>, in particular Articles 8 and 35 thereof,

**Having regard** to Directive (EU) 2016/2341 of 14 December 2016 on the activities and supervision of institutions for occupational retirement provision (IORPs)<sup>2</sup>, in particular Article 9 thereof,

### **Whereas:**

- (1) Competent authorities should ensure that every IORP, the main administration of which is located in their territories, is registered in a national register or authorised. In the case of cross-border activities, the register should also indicate the Member States in which the IORP is operating. The information from the register should be communicated to EIOPA.
- (2) EIOPA should make the information reported available on its website to create a centralised source of information on IORPs at EU level.
- (3) This Decision lays down the procedures for the submission of information for the Register of IORPs from competent authorities to EIOPA.

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<sup>1</sup> OJ L 331, 15.12.2010, p 48.

<sup>2</sup> OJ L 354, 23.12.2016, p. 37–85.

## **Has adopted this Decision:**

### ***Article 1 – Information to be reported***

1. Competent authorities where the IORP was registered or authorised shall update EIOPA's Register of IORPs with the information as set out in Annex I to this Decision, including domestic operations and cross-border operations.
2. To assess completeness with the Decision of the Board of Supervisors on EIOPA's regular information requests towards NCAs regarding provision of occupational pensions information (EIOPA-BoS/18-114) the competent authorities shall also report activities of the nationally regulated pension funds not subject to Directive (EU) 2016/2341 (non-IORPs) for which the competent authorities intend to provide voluntary information.
3. When competent authorities identify any information published in the EIOPA register that contradicts the information that they have as hosts, they should inform EIOPA thereof by sending an email to: [Register@eiopa.europa.eu](mailto:Register@eiopa.europa.eu).

### ***Article 2 – Frequency and deadlines***

1. Competent authorities shall submit the information regularly and at least in advance of every reporting period for the provision of information as set out in the Decision of the Board of Supervisors on EIOPA's regular information requests towards NCAs regarding provision of occupational pensions information (EIOPA-BoS/18-114).
2. If no updates were submitted between two reporting periods, EIOPA will assume that no changes occurred.

### ***Article 3 – Transmission format***

The information shall be submitted using the CSV format as specified in Annex I to this Decision.

### ***Article 4 – Transmission channels***

1. Competent authorities shall submit the information to EIOPA via the EIOPA Hub or the EIOPA Web Portal Restricted Area.
2. The report file shall be encrypted using EIOPA PRODUCTION key and with the NCA's Public key as defined in EIOPA NCA Reporting Instructions IORPS<sup>3</sup>.

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<sup>3</sup> [https://extranet.eiopa.europa.eu/groups/ITDC-Knowledge-Sharing-Space/Shared%20Documents/EIOPA%20IT%20Programme/03%20Registers%20\(REG\)/03%20Guidance%20and%20Manuals/R2/R2a/EIOPA\\_SC11-NCAReportingInstructions\\_IORPS-v1.0.docx](https://extranet.eiopa.europa.eu/groups/ITDC-Knowledge-Sharing-Space/Shared%20Documents/EIOPA%20IT%20Programme/03%20Registers%20(REG)/03%20Guidance%20and%20Manuals/R2/R2a/EIOPA_SC11-NCAReportingInstructions_IORPS-v1.0.docx)

### **Article 5 – Data quality checks**

1. When submitting the information, competent authorities shall apply the validation rules as defined in Annex II to this Decision.
2. Competent authorities shall perform quality checks on the information submitted to EIOPA or which concern their territories in the case of cross-border activities.
3. EIOPA shall undertake a data quality check of each submission of information.
4. If the information is not of sufficient quality, EIOPA shall inform the competent authority as to what information is required and set a new deadline to remedy this. The competent authority shall submit the revised form to EIOPA within the new deadline.
5. EIOPA may conclude that additional information or explanations are required and send a request for comments to the competent authority.

### **Article 6 – Implementation**

The Executive Director may adopt practical measures necessary for the implementation of this Decision.

Done at Frankfurt, 28 March 2019

[signed]

*For the Board of Supervisors*  
Gabriel Bernardino  
Chairman