



EIOPA- BoS-19/165
7 March 2019

Decision of the Board of Supervisors on the Database of Pension Plans and Products in the EEA

The Board of Supervisors of the European Insurance and Occupational Pensions Authority,

Having regard to Regulation (EU) No 1094/2010 of the European Parliament and of the Council of 24 November 2010 establishing a European Supervisory Authority (European Insurance and Occupational Pensions Authority), amending Decision No 716/2009/EC and repealing Commission Decision 2009/79/EC¹, in particular Articles 8 and 35 thereof,

Whereas:

- (1) EIOPA should carry out the tasks set out in Article 8(1) of Regulation (EU) No 1094/2010. To achieve these tasks, EIOPA has the power to collect the necessary information concerning financial institutions in accordance with Article 8(2)(h) of Regulation (EU) No 1094/2010.
- (2) The database endows a comprehensive basis of knowledge useful for EIOPA's work on pensions, and provides a unique, comprehensive picture of the European landscape of pension plans and products and of their variety, available to any stakeholder. It is important that EIOPA develops and maintains such a database as a strategic element to reinforce its role in the field of pensions consistently with the role assigned to it by the European legislators.
- (3) In accordance with Article 35 of Regulation (EU) No 1094/2010, competent authorities should provide EIOPA with all the necessary information to carry out the duties assigned to it.
- (4) In that context, competent authorities may be requested to submit information to EIOPA at recurring intervals and in a specified format, using a common reporting format where possible.
- (5) This Decision regulates the submission of information on pension plans and products from competent authorities with the aim to provide EIOPA with the necessary information to publish a Database of Pension Plans and Products on its website.

¹ OJ L 331, 15.12.2010, p 48.

Has adopted this Decision:

Article 1 – Information to be reported

Competent authorities of the EEA country where the pension scheme/plan/product/provider is provided/operates shall update EIOPA's Database of Pension Plans and Products in the EEA with the information as set out in Annex I to this Decision.

Article 2 – Frequency and deadlines

1. The first reporting shall be submitted by 1 January 2020. Competent authorities shall review and up-date the information annually.
2. In the event that the market in a country changed materially between the updates referred to in Article 2(1), competent authorities shall provide EIOPA with the information referred to in Article 1 after the change has materialised.

Article 3 – Transmission format

The information shall be submitted using the CSV format as specified in Annex I to this Decision.

Article 4 – Transmission channels

1. Competent authorities shall submit the information to EIOPA via the EIOPA Hub or the EIOPA Web Portal Restricted Area.
2. The report file shall be encrypted using EIOPA PRODUCTION key and with NCA's Public key as defined in EIOPA NCA Reporting Instructions for Pension Plans and Products Register².

Article 5 – Data quality checks

1. When submitting the information, competent authorities shall apply the validation rules as defined in Annex II to this Decision.
2. Competent authorities shall perform quality checks on the information submitted to EIOPA.
3. EIOPA shall undertake a data quality check of each submission of information.

² [https://extranet.eiopa.europa.eu/groups/ITDC-Knowledge-Sharing-Space/Shared%20Documents/EIOPA%20IT%20Programme/03%20Registers%20\(REG\)/03%20Guidance%20and%20Manuals/R3/EIOPA_SC11_NCAReportingInstructions_PensionPlansandProductsinEEA_v0.2.doc](https://extranet.eiopa.europa.eu/groups/ITDC-Knowledge-Sharing-Space/Shared%20Documents/EIOPA%20IT%20Programme/03%20Registers%20(REG)/03%20Guidance%20and%20Manuals/R3/EIOPA_SC11_NCAReportingInstructions_PensionPlansandProductsinEEA_v0.2.doc)

4. If the information is not of sufficient quality, EIOPA shall inform the competent authority as to what information is required and set a new deadline to remedy this. The competent authority shall submit the revised form to EIOPA within the new deadline.
5. EIOPA may conclude that additional information or explanations are required and send a request for comments to the competent authority.

Article 6 – Implementation

The Executive Director may adopt practical measures necessary for the implementation of this Decision.

Done at Frankfurt, 28 March 2019

[signed]

For the Board of Supervisors
Gabriel Bernardino
Chairman